



REQUEST FOR JUDICIAL INTERVENTION

SUPREME COURT, COUNTY OF NEW YORK

 UCS-840
(rev. 02/01/2022)

Index No: _____ Date Index Issued: 09/21/2022

For Court Use Only:

CAPTION Enter the complete case caption. Do not use et al or et ano. If more space is needed, attach a caption rider sheet.

IAS Entry Date

PEOPLE OF THE STATE OF NEW YORK, by LETITIA JAMES, Attorney General of the State of New York

Plaintiff(s)/Petitioner(s)

Judge Assigned

-against-

DONALD J. TRUMP; DONALD TRUMP, JR.; ERIC TRUMP; IVANKA TRUMP; ALLEN WEISSELBERG; JEFFREY MCCONNEY; THE DONALD J. TRUMP REVOCABLE TRUST; THE TRUMP ORGANIZATION, INC.; TRUMP ORGANIZATION LLC; DJT HOLDINGS LLC; DJT HOLDINGS MANAGING MEMBER; TRUMP ENDEAVOR 12 LLC; 401 NORTH WABASH VENTURE LLC; TRUMP OLD POST OFFICE LLC; 40 WALL STREET LLC; and SEVEN SPRINGS LLC

Defendant(s)/Respondent(s)

RJF Filed Date

NATURE OF ACTION OR PROCEEDING Check only one box and specify where indicated.

COMMERCIAL

- ☐ Business Entity (includes corporations, partnerships, LLCs, LLPs, etc.)
☐ Contract
☐ Insurance (where insurance company is a party, except arbitration)
☐ UCC (includes sales and negotiable instruments)
☐ Other Commercial (specify): _____

NOTE: For Commercial Division assignment requests pursuant to 22 NYCRR 202.70(d), complete and attach the **COMMERCIAL DIVISION RJF ADDENDUM (UCS-840C)**.

TORTS

- ☐ Asbestos
☐ Child Victims Act
☐ Environmental (specify): _____
☐ Medical, Dental or Podiatric Malpractice
☐ Motor Vehicle
☐ Products Liability (specify): _____
☐ Other Negligence (specify): _____
☐ Other Professional Malpractice (specify): _____
☐ Other Tort (specify): _____

SPECIAL PROCEEDINGS

- ☐ Child-Parent Security Act (specify): ☐ Assisted Reproduction ☐ Surrogacy Agreement
☐ CPLR Article 75 – Arbitration [see **NOTE** in **COMMERCIAL** section]
☐ CPLR Article 78 – Proceeding against a Body or Officer
☐ Election Law
☐ Extreme Risk Protection Order
☐ MHL Article 9.60 – Kendra's Law
☐ MHL Article 10 – Sex Offender Confinement (specify): ☐ Initial ☐ Review
☐ MHL Article 81 (Guardianship)
☐ Other Mental Hygiene (specify): _____
☐ Other Special Proceeding (specify): _____

MATRIMONIAL

- ☐ Contested
NOTE: If there are children under the age of 18, complete and attach the **MATRIMONIAL RJF ADDENDUM (UCS-840M)**.
 For Uncontested Matrimonial actions, use the Uncontested Divorce RJF (**UD-13**).

REAL PROPERTY Specify how many properties the application includes: _____

- ☐ Condemnation
☐ Mortgage Foreclosure (specify): ☐ Residential ☐ Commercial
 Property Address: _____
NOTE: For Mortgage Foreclosure actions involving a one to four-family, owner-occupied residential property or owner-occupied condominium, complete and attach the **FORECLOSURE RJF ADDENDUM (UCS-840F)**.
☐ Partition
NOTE: Complete and attach the **PARTITION RJF ADDENDUM (UCS-840P)**.
☐ Tax Certiorari (specify): Section: _____ Block: _____ Lot: _____
☐ Tax Foreclosure
☐ Other Real Property (specify): _____

OTHER MATTERS

- ☐ Certificate of Incorporation/Dissolution [see **NOTE** in **COMMERCIAL** section]
☐ Emergency Medical Treatment
☐ Habeas Corpus
☐ Local Court Appeal
☐ Mechanic's Lien
☐ Name Change/Sex Designation Change
☐ Pistol Permit Revocation Hearing
☐ Sale or Finance of Religious/Not-for-Profit Property
☒ Other (specify): EL 63(12) - Fraud and Illegality in the conduct of business

STATUS OF ACTION OR PROCEEDING Answer YES or NO for every question and enter additional information where indicated.

	YES	NO	
Has a summons and complaint or summons with notice been filed?	<input type="radio"/>	<input type="radio"/>	If yes, date filed: _____
Has a summons and complaint or summons with notice been served?	<input type="radio"/>	<input type="radio"/>	If yes, date served: _____
Is this action/proceeding being filed post-judgment?	<input type="radio"/>	<input type="radio"/>	If yes, judgment date: _____

NATURE OF JUDICIAL INTERVENTION Check one box only and enter additional information where indicated.

- ☐ Infant's Compromise
☐ Extreme Risk Protection Order Application
☐ Note of Issue/Certificate of Readiness
☐ Notice of Medical, Dental or Podiatric Malpractice
☐ Notice of Motion
☐ Notice of Petition
☐ Order to Show Cause
☐ Other Ex Parte Application
☐ Partition Settlement Conference
☐ Poor Person Application
☒ Request for Preliminary Conference
☐ Residential Mortgage Foreclosure Settlement Conference
☐ Writ of Habeas Corpus
☐ Other (specify): _____

Date Issue Joined: _____

Relief Requested: _____

Relief Requested: _____

Relief Requested: _____

Relief Requested: _____

Return Date: _____

Return Date: _____

Return Date: _____

RELATED CASES				
List any related actions. For Matrimonial cases, list any related criminal or Family Court cases. If none, leave blank. If additional space is required, complete and attach the RJI ADDENDUM (UCS-840A).				
Case Title	Index/Case Number	Court	Judge (if assigned)	Relationship to instant case
People v. The Trump Organization, et al.	451685/2020	NY Supreme Court, NY County	Justice Arthur Engoron	Substantial record developed in underlying enforcement action; Court has substantial degree of familiarity with the relevant information and matters at issue.

PARTIES				
For parties without an attorney, check the "Un-Rep" box and enter the party's address, phone number and email in the space provided. If additional space is required, complete and attach the RJI ADDENDUM (UCS-840A).				
Un-Rep	Parties	Attorneys and Unrepresented Litigants	Issue Joined	Insurance Carriers
	List parties in same order as listed in the caption and indicate roles (e.g., plaintiff, defendant, 3 rd party plaintiff, etc.)	For represented parties, provide attorney's name, firm name, address, phone and email. For unrepresented parties, provide party's address, phone and email.	For each defendant, indicate if issue has been joined.	For each defendant, indicate insurance carrier, if applicable.
<input type="checkbox"/>	Name: People of the State of NY Role(s): Plaintiff	NYS Office of the Attorney General - Kevin Wallace 28 Liberty St., New York, NY 10005; kevin.wallace@ag.ny.gov; 212-416-6376	<input type="radio"/> YES <input type="radio"/> NO	
<input type="checkbox"/>	Name: Donald J. Trump Role(s): Defendant	Alina Habba, Habba Maddaio & Associates LLP - 112 West 34th Street, 17th & 18th Floors, New York, New York 10120 Ron Fischetti, Fischetti Malgieri - 565 Fifth Ave., 7th Fl, New York, NY 10017; 908-869-1188; ahabba@habbalaw.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Donald Trump, Jr. Role(s): Defendant	Alan Futerfas, Law Offices of Alan S. Futerfas 565 Fifth Ave., 7th Fl, New York, NY 10017; 212-684-8400; asfuterfas@futerfaslaw.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Eric Trump Role(s): Defendant	Alan Futerfas, Law Offices of Alan S. Futerfas 565 Fifth Ave., 7th Fl, New York, NY 10017; 212-684-8400; asfuterfas@futerfaslaw.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Ivanka Trump Role(s): Defendant	Alan Futerfas, Law Offices of Alan S. Futerfas 565 Fifth Ave., 7th Fl, New York, NY 10017; 212-684-8400; asfuterfas@futerfaslaw.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Allen Weisselberg Role(s): Defendant	Mary Mulligan, Friedman Kaplan Seiler Adelman 7 Times Sq., New York, NY 10036; mmulligan@fklaw.com; 212-833-1123	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Jeffrey McConney Role(s): Defendant	Patricia Pileggi, ArentFox Schiff 1301 Avenue of the Americas, 42nd floor, New York, NY 10019; 212-745-0839; patricia.pileggi@afslaw.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input checked="" type="checkbox"/>	Name: The Donald J. Trump Revocable Trust Role(s): Defendant	c/o the Trump Organization 725 Fifth Ave., New York, NY 10022, represented by LaRocca Hornik (herein)	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: The Trump Organization, Inc. Role(s): Defendant	Lawrence Rosen, LaRocca Hornik Rosen & Greenberg LLP - 40 Wall Street, 32nd Floor, New York, NY 10005; Alina Habba, Habba Maddaio & Associates LLP - 112 West 34th Street, 17th & 18th Floors, New York, NY 10120; 908-869-1188; ahabba@habbalaw.com; 2125304822; LRosen@LHRGB.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: Trump Organization, LLC Role(s): Defendant	Lawrence Rosen, LaRocca Hornik Rosen & Greenberg LLP - 40 Wall Street, 32nd Floor, New York, NY 10005; Alina Habba, Habba Maddaio & Associates LLP - 112 West 34th Street, 17th & 18th Floors, New York, NY 10120; 908-869-1188; ahabba@habbalaw.com; 2125304822; LRosen@LHRGB.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: DJT Holdings LLC Role(s): Defendant	Lawrence Rosen, LaRocca Hornik Rosen & Greenberg LLP - 40 Wall Street, 32nd Floor, New York, NY 10005; Alina Habba, Habba Maddaio & Associates LLP - 112 West 34th Street, 17th & 18th Floors, New York, NY 10120; 908-869-1188; ahabba@habbalaw.com; 2125304822; LRosen@LHRGB.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Name: DJT HOLDINGS MANAGING MEMBER Role(s): Defendant	Lawrence Rosen, LaRocca Hornik Rosen & Greenberg LLP - 40 Wall Street, 32nd Floor, New York, NY 10005; Alina Habba, Habba Maddaio & Associates LLP - 112 West 34th Street, 17th & 18th Floors, New York, NY 10120; 908-869-1188; ahabba@habbalaw.com; 2125304822; LRosen@LHRGB.com	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input checked="" type="checkbox"/>	Name: Trump Endeavor 12 LLC Role(s): Defendant	c/o the Trump Organization 725 Fifth Ave., New York, NY 10022, represented by LaRocca Hornik (herein)	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input checked="" type="checkbox"/>	Name: 401 North Wabash Venture LLC Role(s): Defendant	c/o the Trump Organization 725 Fifth Ave., New York, NY 10022, represented by LaRocca Hornik (herein)	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input checked="" type="checkbox"/>	Name: Trump Old Post Office LLC Role(s): Defendant	c/o the Trump Organization 725 Fifth Ave., New York, NY 10022, represented by LaRocca Hornik (herein)	<input type="radio"/> YES <input checked="" type="radio"/> NO	

I AFFIRM UNDER THE PENALTY OF PERJURY THAT, UPON INFORMATION AND BELIEF, THERE ARE NO OTHER RELATED ACTIONS OR PROCEEDINGS, EXCEPT AS NOTED ABOVE, NOR HAS A REQUEST FOR JUDICIAL INTERVENTION BEEN PREVIOUSLY FILED IN THIS ACTION OR PROCEEDING.

Dated: 09/21/2022


Signature

5295118
Attorney Registration Number

Colleen K. Faherty
Print Name

Supreme

COURT, COUNTY OF New York

Index No: _____

For use when additional space is needed to provide party or related case information.

PARTIES: For parties without an attorney, check "Un-Rep" box AND enter party address, phone number and e-mail address in "Attorneys" space.

Un-Rep	Parties:	Attorneys and/or Unrepresented Litigants:	Issue Joined (Y/N):	Insurance Carrier(s):
<input checked="" type="checkbox"/>	40 Wall Street LLC <small>Last Name</small> First Name <small>Primary Role:</small> Defendant Secondary Role (if any):	c/o The Trump Organization <small>Last Name</small> LaRocca Hornik <small>First Name</small> Firm Name 725 Fifth Ave. <small>Street Address</small> New York <small>City</small> New York <small>State</small> 10022 <small>Zip</small> +1 (212) 530-4822 <small>Phone</small> LRosen@LHRGB.com <small>Fax</small> <small>e-mail</small>	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Seven Springs LLC <small>Last Name</small> First Name <small>Primary Role:</small> Secondary Role (if any):	Larry Rosen <small>Last Name</small> LaRocca Hornik <small>First Name</small> Firm Name 40 Wall St. <small>Street Address</small> New York <small>City</small> New York <small>State</small> 10005 <small>Zip</small> +1 (212) 530-4822 <small>Phone</small> LRosen@LHRGB.com <small>Fax</small> <small>e-mail</small>	<input type="radio"/> YES <input checked="" type="radio"/> NO	
<input type="checkbox"/>	Last Name First Name <small>Primary Role:</small> Secondary Role (if any):	Last Name First Name Firm Name Street Address City State Zip Phone Fax e-mail	<input type="radio"/> YES <input type="radio"/> NO	
<input type="checkbox"/>	Last Name First Name <small>Primary Role:</small> Secondary Role (if any):	Last Name First Name Firm Name Street Address City State Zip Phone Fax e-mail	<input type="radio"/> YES <input type="radio"/> NO	
<input type="checkbox"/>	Last Name First Name <small>Primary Role:</small> Secondary Role (if any):	Last Name First Name Firm Name Street Address City State Zip Phone Fax e-mail	<input type="radio"/> YES <input type="radio"/> NO	
<input type="checkbox"/>	Last Name First Name <small>Primary Role:</small> Secondary Role (if any):	Last Name First Name Firm Name Street Address City State Zip Phone Fax e-mail	<input type="radio"/> YES <input type="radio"/> NO	

RELATED CASES: List any related actions. For Matrimonial actions, include any related criminal and/or Family Court cases.

Case Title	Index/Case No.	Court	Judge (if assigned)	Relationship to Instant Case